

**NATIONAL SUN YAT-SEN UNIVERSITY**  
**College of Marine Sciences**

**Enforcement Rules of Faculty Promotion Evaluations**  
**(for Faculty ranked above Assistant Professors)**

Amended and approved by the 6<sup>th</sup> College Faculty Evaluation Committee Meeting on June 3, 2011, 2<sup>nd</sup> Semester of School Year 99.  
 Approved by the 335<sup>th</sup> University Faculty Evaluation Committee Meeting on June 16, 2011.  
 Amended and approved by the 2<sup>nd</sup> College Faculty Evaluation Committee Meeting on March 27, 2013, 2<sup>nd</sup> Semester of School Year 101.  
 Amended and approved by the 353<sup>rd</sup> University Faculty Evaluation Committee Meeting on April 18, 2013.  
 Amended and approved by the 363<sup>rd</sup> University Faculty Evaluation Committee Meeting on October 16, 2014.  
 Amended and approved by the 5<sup>th</sup> College Faculty Evaluation Committee Meeting on December 31, 2014, School Year 103.  
 Reviewed and approved by the 366<sup>th</sup> University Faculty Evaluation Committee Meeting on March 26, 2015.  
 Reviewed and approved by the 6<sup>th</sup> College Faculty Evaluation Committee Meeting on April 28, 2015, School Year 103.  
 Amended and approved by the 367<sup>th</sup> University Faculty Evaluation Committee Meeting on May 14, 2015.  
 Amended and approved by the 1<sup>st</sup> College Faculty Evaluation Committee Meeting on September 21, 2015, School Year 104.  
 Amended and approved by the 369<sup>th</sup> University Faculty Evaluation Committee Meeting on October 22, 2015.  
 Amended and approved by the 5<sup>th</sup> College Faculty Evaluation Committee Meeting on March 3, 2016, School Year 104.  
 Amended and approved by the 372<sup>th</sup> University Faculty Evaluation Committee Meeting on March 24, 2016.  
 Amended and approved by the 9<sup>th</sup> College Faculty Evaluation Committee Meeting on May 31, 2016, School Year 104.  
 Amended and approved by the 374<sup>th</sup> University Faculty Evaluation Committee Meeting on June 16, 2016.  
 Amended and approved by the 7<sup>th</sup> College Faculty Evaluation Committee Meeting on April 26, 2018, School Year 106.  
 Amended and approved by the 388<sup>th</sup> University Faculty Evaluation Committee Meeting on June 21, 2018.  
 Amended and approved by the 2<sup>nd</sup> College Faculty Evaluation Committee Meeting on November 5, 2018, School Year 107.  
 Amended and approved by the 390<sup>th</sup> University Faculty Evaluation Committee Meeting on November 29, 2018.  
 Amended and approved by the 1<sup>st</sup> College Faculty Evaluation Committee Meeting on September 25, 2019, School Year 108.  
 Amended and approved by the 396<sup>th</sup> University Faculty Evaluation Committee Meeting on November 28, 2019.

Department:

Name:

Intend level of promotion:

Effective date of this position: (yyyy/mm/dd)

- I. The applicant may be invited to attend the promotion review meetings held by the College Faculty Evaluation Committee to provide explanations.
- II. Promotion scoring rules are specified below in accordance with “Regulations for Promotion Evaluation of Faculty and Researchers at National Sun Yat-sen University”:
  - i. There are three evaluation criteria for promotion evaluation—academic research performances, teaching performances, and services. The maximum total scores for each criterion are:
    1. Applying for promotion by specialized academic publications or technical reports: 70% for academic research performances, 20% for teaching performances, and 10% for services.
    2. Applying for promotion by written works on teaching research: 60% for academic research performances, 30% for teaching performances, and 10% for services.
  - ii. Research performances:
    1. Applying for promotion by specialized academic publications:

A1. Research externally reviewed by units outside NSYSU: maximum 75 points		
Results of journal article reviewed by three external reviewers from outside NSYSU	Points	Score Conversion
Outstanding	2	/
Excellent	1.5	
Merit	1	
Average	0.5	

Insufficient	0	
1. The total score is converted from points of the three reviewers. 2. The total points shall be no less than 3.5 points.	6.0 points	$100 \times 0.75 = 75$
	5.5 points	$95 \times 0.75 = 71.25$
	5.0 points	$90 \times 0.75 = 67.5$
	4.5 points	$85 \times 0.75 = 63.75$
	4.0 points	$80 \times 0.75 = 60$
	3.5 points	$75 \times 0.75 = 56.25$
<b>Total</b>		
A2. Research awards/subsidies or other academic achievements in the original position in recent seven years: maximum 25 points		
Aa: Ministry of Science and Technology (MOST) research projects recognized by the Office of Research and Development: (1) Project for MOST research fellow: 12 points for each project of a duration of six months or more per year; 6 points for each project of a duration less than six months per year. (2) Research Project: 3 points for each project of a duration of six months or more per year; 1.5 points for each project of a duration less than six months per year.		
Aa-1: MOST academia-industry collaboration research projects and industry-academic projects commissioned by governmental organizations recognized by the Office of Global Industry-Academe Collaboration and Advancement: 3 points for each project of a duration of six months or more per year; 1.5 points for each project of a duration less than six months per year. (Choose either Aa-1 or Ag)		
Ab: MOST academia-industry collaboration research project: according to the approved cooperative enterprise list, 1 point will be awarded for NT\$90,000 of the allowance for the principal investigator. For the portion over NT\$90,000, 0.35 points will be awarded for every NT\$10,000.		
Ac: 6 points for a receiver of the MOST Ta-You Wu Memorial Award (this award may only be accounted for once for promotions); 20 points for each of the MOST Outstanding Research Award received.		
Ad: An extra 1 point will be awarded for each patent of R.O.C. or China applied by the main developer with his/her research outcomes and received in the name of NSYSU, or each patent received in the name of an individual and transferred to NSYSU (excluding patents co-applied with enterprises) recognized by the Office of Global Industry-Academe Collaboration and Advancement; 2 points for each patents of the U.S.A., Japan, or the European Union with conditions described above. Scores for patents of other countries are determined by the Office of Global Industry-Academe Collaboration and Advancement. A maximum of 2 points may be awarded for this item of calculation.		
Ae: An extra 0.5 points will be awarded for each technology transfer or publication authorization between the main developer and industrial field(s) (including enterprises and juridical persons) with a collective authorization fund of NT\$200,000 recognized by the Office of Global Industry-Academe Collaboration and Advancement. For the portion over NT\$200,000, 0.25 points will be awarded for every NT\$100,000.		
Af: An extra 1 points will be awarded for each industry-academic project commissioned by non-governmental organizations (including enterprises and juridical persons) with the principal investigator receiving a collective project funds of NT\$500,000 recognized by the Office of		

<p>Global Industry-Academe Collaboration and Advancement. For the portion over NT\$500,000, 0.1 points will be awarded for every NT\$ 100,000.</p> <p>Faculty in the College with expertise in humanities, law and politics, socio-economics, management, etc.: an extra 1 points will be awarded with the principal investigator receiving a collective project funds of NT\$300,000. For the portion over NT\$300,000, 0.1 points will be awarded for every NT\$ 60,000.</p>
<p>Ag: An extra 1 points will be awarded for each industry-academic project commissioned by governmental organizations (including MOST academia-industry collaboration research project) with the principal investigator receiving a collective project funds of NT\$1,000,000 recognized by the Office of Global Industry-Academe Collaboration and Advancement. For the portion over NT\$1,000,000, 0.1 points will be awarded for every NT\$ 200,000.</p> <p>Faculty in the College with expertise in humanities, law and politics, socio-economics, management, etc.: an extra 1 points will be awarded with the principal investigator receiving a collective project funds of NT\$500,000. For the portion over NT\$500,000, 0.1 points will be awarded for every NT\$ 100,000. (Choose either Aa-1 or Ag)</p>
<p>Ah: Representative professional works recognized by international professional awards. (A maximum of 6 points may be awarded for each award received from international contests, and a maximum of 3 points for being shortlisted. A maximum of 3 points may be awarded for each national award received, and a maximum of 1 point for being shortlisted. The scores shall be reviewed by the Department Faculty Evaluation Committee.)</p>
<p>Ai: An extra 1 point will be awarded for each teaching related project of the Ministry of Education (MOE) with a collective fund of NT\$1 million or more recognized by the Office of Academic Affairs, and 0.5 points will be awarded for a portion of the collective fund that is less than NT\$1 million. Each project may only be accounted once for the calculation. If the applicant is a co-principle investigator, points will be distributed in accordance with a list of individual contributions signed by all co-principle investigators.</p>
<p>Aj: 3 points for each MOE teaching practice research program per year.</p>
<p>The total score of the above nine items (Aa + Ab + Ac + Ad + Ae + Af + Ag + Ah + Ai + Aj) may not exceed 25.</p>

2. Applying for promotion by technical reports:

A1. Research externally reviewed by units outside NSYSU: maximum 40 points		
Results of journal article reviewed by three external reviewers from outside NSYSU	Points	Score Conversion
Outstanding	2	/
Excellent	1.5	
Merit	1	
Average	0.5	
Insufficient	0	
1. The total score is converted from points of the three reviewers. 2. The total points shall be no less than 3.5 points.	6.0 points	$100 \times 0.4 = 40$
	5.5 points	$95 \times 0.4 = 38$
	5.0 points	$90 \times 0.4 = 36$
	4.5 points	$85 \times 0.4 = 34$

	4.0 points	$80 \times 0.4 = 32$
	3.5 points	$75 \times 0.4 = 30$
<b>Total</b>		
A2. Research awards/subsidies or other academic achievements in the original position in recent seven years: maximum 60 points		
Aa: Ministry of Science and Technology (MOST) research projects recognized by the Office of Research and Development: (1) Project for MOST research fellow: 12 points for each project of a duration of six months or more per year; 6 points for each project of a duration less than six months per year. (2) Research Project: 3 points for each project of a duration of six months or more per year; 1.5 points for each project of a duration less than six months per year.		
Aa-1: MOST academia-industry collaboration research projects and industry-academic projects commissioned by governmental organizations recognized by the Office of Global Industry-Academe Collaboration and Advancement: 3 points for each project of a duration of six months or more per year; 1.5 points for each project of a duration less than six months per year. (Choose either Aa-1 or Ag)		
Ab: MOST academia-industry collaboration research project: according to the approved cooperative enterprise list, 1 point will be awarded for NT\$90,000 of the allowance for the principal investigator. For the portion over NT\$90,000, 0.35 points will be awarded for every NT\$10,000.		
Ac: 6 points for a receiver of the MOST Ta-You Wu Memorial Award (this award may only be accounted for once for promotions); 20 points for each of the MOST Outstanding Research Award received.		
Ad: An extra 1 point will be awarded for each patent of R.O.C. or China applied by the main developer with his/her research outcomes and received in the name of NSYSU, or each patent received in the name of an individual and transferred to NSYSU (excluding patents co-applied with enterprises) recognized by the Office of Global Industry-Academe Collaboration and Advancement; 2 points for each patents of the U.S.A., Japan, or the European Union with conditions described above. Scores for patents of other countries are determined by the Office of Global Industry-Academe Collaboration and Advancement. A maximum of 2 points may be awarded for this item of calculation.		
Ae: An extra 1 point will be awarded for each technology transfer or publication authorization between the main developer and industrial field(s) (including enterprises and juridical persons) with a collective authorization fund of NT\$200,000 recognized by the Office of Global Industry-Academe Collaboration and Advancement. For the portion over NT\$200,000, 0.625 points will be awarded for every NT\$100,000.		
Af: An extra 3 points will be awarded for each industry-academic project commissioned by non-governmental organizations (including enterprises and juridical persons) with the principal investigator receiving a collective project funds of NT\$1 million recognized by the Office of Global Industry-Academe Collaboration and Advancement. For the portion over NT\$1 million, 0.25 points will be awarded for every NT\$ 100,000.  Faculty in the College with expertise in humanities, law and politics, socio-economics, management, etc.: an extra 3 points will be awarded with the principal investigator receiving a collective project funds of NT\$500,000. For the portion over NT\$500,000, 0.5 points will be awarded for every NT\$ 100,000.		
Ag: For each industry-academic project commissioned by governmental organizations (including MOST academia-industry collaboration research project), faculty in the College of Liberal Arts,		

College of Management, College of Social Sciences, Si-wan College, and in the College of Marine Sciences with expertise in humanities, law and politics, socio-economics, management, etc.: an extra 1 points will be awarded with the principal investigator receiving a collective project funds of NT\$500,000. For the portion over NT\$500,000, 0.1 points will be awarded for every NT\$ 100,000.

Faculty in the College of Science, College of Engineering, and College of Marine Sciences: an extra 1 points will be awarded with the principal investigator receiving a collective project funds of NT\$1,000,000. For the portion over NT\$1,000,000, 0.1 points will be awarded for every NT\$ 200,000. (Choose either Aa-1 or Ag)

Ah: An extra 1 point will be awarded for faculty use NSYSU R&D outcomes and technical services to value new technologies for “cooperative entrepreneurship” and “technical shareholding” with corporations, with the cumulative value of NSYSU’s par shareholding value of NT\$200,000. For the portion over NT\$200,000, 0.5 points will be awarded for every NT\$ 100,000.

Ai: An extra 1 point will be awarded for faculty who advise student team in the name of NSYSU to win each entrepreneurial competitions organized by various ministries and agencies of the Executive Yuan (or subsidies more than NT\$300,000) that recognized by the Office of Global Industry-Academe Collaboration and Advancement. 2 points will be awarded if the team officially established a company within two years.

Aj: An extra 20 points will be awarded for each of the National Invention and Creation Award of Ministry of Economic Affairs or equivalent awards in the original position recognized by the Office of Global Industry-Academe Collaboration and Advancement.

Ak: Representative professional works recognized by international professional awards. (A maximum of 6 points may be awarded for each award received from international contests, and a maximum of 3 points for being shortlisted. A maximum of 3 points may be awarded for each national award received, and a maximum of 1 point for being shortlisted. The scores shall be reviewed by the Department Faculty Evaluation Committee.)

Al: An extra 1 point will be awarded for each teaching related project of the Ministry of Education with a collective fund of NT\$1 million or more recognized by the Office of Academic Affairs, and 0.5 points will be awarded for a portion of the collective fund that is less than NT\$1 million. Each project may only be accounted once for the calculation. If the applicant is a co-principle investigator, points will be distributed in accordance with a list of individual contributions signed by all co-principle investigators.

Am: 3 points for each MOE teaching practice research program per year.

The total score of the above nine items (Aa + Ab + Ac + Ad + Ae + Af + Ag + Ah + Ai + Aj + Ak + Al + Am) may not exceed 60.

### 3. Applying for promotion by written works on teaching research:

A1. Research externally reviewed by units outside NSYSU: maximum 60 points		
Results of journal article reviewed by three external reviewers from outside NSYSU	Points	Score Conversion
Outstanding	2	/
Excellent	1.5	
Merit	1	
Average	0.5	

Insufficient	0	
1. The total score is converted from points of the three reviewers. 2. The total points shall be no less than 3.5 points.	6.0 points	$100 \times 0.6 = 60$
	5.5 points	$95 \times 0.6 = 57$
	5.0 points	$90 \times 0.6 = 54$
	4.5 points	$85 \times 0.6 = 51$
	4.0 points	$80 \times 0.6 = 48$
	3.5 points	$75 \times 0.6 = 45$
<b>Total</b>		
A2. Research awards/subsidies or other academic achievements in the original position in recent seven years: maximum 40 points		
Aa: Ministry of Science and Technology (MOST) research projects recognized by the Office of Research and Development: (1) Project for MOST research fellow: 12 points for each project of a duration of six months or more per year; 6 points for each project of a duration less than six months per year. (2) Research Project: 3 points for each project of a duration of six months or more per year; 1.5 points for each project of a duration less than six months per year.		
Aa-1: MOST academia-industry collaboration research projects and industry-academic projects commissioned by governmental organizations recognized by the Office of Global Industry-Academe Collaboration and Advancement: 3 points for each project of a duration of six months or more per year; 1.5 points for each project of a duration less than six months per year. (Choose either Aa-1 or Ag)		
Ab: MOST academia-industry collaboration research project: according to the approved cooperative enterprise list, 1 point will be awarded for NT\$90,000 of the allowance for the principal investigator. For the portion over NT\$90,000, 0.35 points will be awarded for every NT\$10,000.		
Ac: 6 points for a receiver of the MOST Ta-You Wu Memorial Award (this award may only be accounted for once for promotions); 20 points for each of the MOST Outstanding Research Award received.		
Ad: An extra 1 point will be awarded for each patent of R.O.C. or China applied by the main developer with his/her research outcomes and received in the name of NSYSU, or each patent received in the name of an individual and transferred to NSYSU (excluding patents co-applied with enterprises) recognized by the Office of Global Industry-Academe Collaboration and Advancement; 2 points for each patents of the U.S.A., Japan, or the European Union with conditions described above. Scores for patents of other countries are determined by the Office of Global Industry-Academe Collaboration and Advancement. A maximum of 2 points may be awarded for this item of calculation.		
Ae: An extra 0.5 points will be awarded for each technology transfer or publication authorization between the main developer and industrial field(s) (including corporations and foundations) with a collective authorization fund of NT\$200,000 recognized by the Office of Global Industry-Academe Collaboration and Advancement. For the portion over NT\$200,000, 0.25 points will be awarded for every NT\$100,000.		
Af: An extra 1 points will be awarded for each industry-academic project commissioned by non-governmental organizations (including corporations and foundations) with the principal investigator receiving a collective project funds of NT\$500,000 recognized by the Office of		

<p>Global Industry-Academe Collaboration and Advancement. For the portion over NT\$500,000, 0.1 points will be awarded for every NT\$ 100,000.</p> <p>Faculty in the College with expertise in humanities, law and politics, socio-economics, management, etc.: an extra 1 points will be awarded with the principal investigator receiving a collective project funds of NT\$300,000. For the portion over NT\$300,000, 0.1 points will be awarded for every NT\$ 60,000.</p>
<p>Ag: An extra 1 points will be awarded for each industry-academic project commissioned by governmental organizations (including MOST academia-industry collaboration research project) with the principal investigator receiving a collective project funds of NT\$1,000,000 recognized by the Office of Global Industry-Academe Collaboration and Advancement. For the portion over NT\$1,000,000, 0.1 points will be awarded for every NT\$ 200,000.</p> <p>Faculty in the College with expertise in humanities, law and politics, socio-economics, management, etc.: an extra 1 points will be awarded with the principal investigator receiving a collective project funds of NT\$500,000. For the portion over NT\$500,000, 0.1 points will be awarded for every NT\$ 100,000.</p>
<p>Ah: Representative professional works recognized by international professional awards. (A maximum of 6 points may be awarded for each award received from international contests, and a maximum of 3 points for being shortlisted. A maximum of 3 points may be awarded for each national award received, and a maximum of 1 point for being shortlisted. The scores shall be reviewed by the Department Faculty Evaluation Committee.)</p>
<p>Ai: An extra 4 points will be awarded for each teaching related project of the Ministry of Education per year, and 2 points for each project less than a year. With a collective fund of NT\$1 million plus 1 point, and so on. Each project may only be accounted once for the calculation. If the applicant is a co-principle investigator, points will be distributed in accordance with a list of individual contributions signed by all co-principle investigators.</p>
<p>Aj: 4 points for each MOE teaching practice research program per year.</p>
<p>The total score of the above nine items (Aa + Ab + Ac + Ad + Ae + Af + Ag + Ah + Ai + Aj) may not exceed 40.</p>

iii. Teaching performances: reviewed by the Department Faculty Evaluation Committee. (B)

iv. Services: reviewed by the Department Faculty Evaluation Committee. (C)

III. Promotion applicants shall fill out the table of scores for faculty promotion in accordance with this set of regulations. The total scores shall be no less than 70 points, and the total points of external reviews of research shall be no less than 3.5 points. Each member of the Department Faculty Evaluation Committee performing initial reviews shall ensure that the applicants have reached the requirements specified in this set of regulations before submitting the applications to the College for final reviews.

IV. This set of enforcement rules shall be implemented following approvals of the College and University Faculty Evaluation Committee Meetings and the authorization of the President. The same procedure shall be carried out when amendments are to be made.

(The rules in English are translated from the original Chinese. In the event of any discrepancies between the two versions, the Chinese version prevails.)