

NATIONAL SUN YAT-SEN UNIVERSITY
College of Marine Sciences

Regulations for Faculty Promotion Evaluation

Promulgated by the Office of Personnel Services No.18 Official Document on January 22, 1992.

Amended and Approved by the 5th College General Meeting on June 18, 1993, School Year 81.

Amended and Approved by the 2nd College General Meeting on June 24, 1997, 2nd Semester of School Year 85.

Amended and Approved by the 5th College General Meeting on December 8, 1997, 1st Semester of School Year 86.

Amended and Approved by the 1st College General Meeting on April 20, 2000, 2nd Semester of School Year 88.

Amended and Approved by the 233th University Faculty Evaluation Committee Meeting on May 16, 2000.

Amended and Approved by the 3rd College General Meeting on June 11, 2004, 2nd Semester of School Year 92.

Amended and Approved by the 291th University Faculty Evaluation Committee Meeting on September 30, 2004.

Amended and Approved by the 2nd College General Meeting on June 14, 2006, 2nd Semester of School Year 94.

Amended and Approved by the 4th College General Meeting on June 3, 2013, School Year 101.

Amended and Approved by the 355th University Faculty Evaluation Committee Meeting on June 13, 2013.

Amended and Approved by the 4th College General Meeting on April 16, 2015, School Year 103.

Amended and Approved by the 367th University Faculty Evaluation Committee Meeting on May 14, 2015.

Amended and Approved by the 4th College General Meeting on March 10, 2016, School Year 104.

Amended and Approved by the 372th University Faculty Evaluation Committee Meeting on March 24, 2016.

Amended and Approved by the 4th College General Meeting on June 7, 2018, School Year 106.

Amended and Approved by the 388th University Faculty Evaluation Committee Meeting on June 21, 2018.

Amended and Approved by the 4th College General Meeting on June 107, 2019, School Year 107.

Amended and Approved by the 394th University Faculty Evaluation Committee Meeting on June 13, 2019.

- I. College of Marine Sciences (hereafter referred to as “the College”) has issued the “Regulations for Faculty Promotion Evaluation” (hereafter referred to as “the Regulations”) in accordance with the provisions specified in the “Organization Act of the National Sun Yat-sen University” and “Regulations for Establishment of Faculty Evaluation Committee at National Sun Yat-sen University”, to ensure the College and the bellowing departments and institutes have a common standard when conducting the initial review, second review and appeal of promotion, in order to achieve fairness, justice and openness.
- II. Faculty’s second review and appeal of promotion are handled in accordance with “Regulations for Promotion Evaluation of Faculty and Researchers at National Sun Yat-sen University”
- III. There are three evaluation criteria for promotion evaluation—academic research, teaching performances, and services. The ratio for each criterion are:
 1. Applying for promotion by specialized academic publications or technical reports: 70 points for academic research, 20 points for teaching performances, and 10 points for services.
 2. Applying for promotion by written works on teaching research: 60 points for teaching research, 30 points for teaching performances, and 10 points for services.
- IV. The Department/Institute Faculty Evaluation Committee shall conduct the initial review according to the College’s “Common Standards of Faculty Promotion Evaluation” and “Enforcement Rules of Faculty Promotion Evaluations (above Assistant Professors)”, and submit the relevant documents of faculty who pass the initial review to the College Faculty Evaluation Committee for processing.
- V. 1. Initial review:
 - (1) The Department/Institute Faculty Evaluation Committee shall conduct the initial review according to the Regulations.
 - (2) When the Department/Institute Faculty Evaluation Committee conducts the initial review, the publications or works of the faculty to be promoted shall first be submitted to the Dean to hire three qualified scholars and experts from outside the University for review.

The Department/Institute Faculty Evaluation Committee shall propose more than seven scholars and experts with sufficient professional ability outside the University (the Dean may also add additional review candidates) for review. The Dean and one to two committee members recommended by the College Faculty Evaluation Committee shall select reviewers. After the three reviewers have been identified, the external review of the works will be conducted by the College, and the results of external review will be sent back to the Department/Institute Faculty Evaluation Committee for initial review.

- (3) The promotion case approved by the initial review of the Department/Institute Faculty Evaluation Committee, the convener shall add comments, together with evaluation results and meeting minutes, and submit to the College Faculty Evaluation Committee for second review.

2. Second review:

- (1) The College Faculty Evaluation Committee shall conduct the second review according to the Regulations.
- (2) When the College Faculty Evaluation Committee conducts the second review, the publications or works approved by the initial review of the faculty to be promoted shall be reviewed by three to five qualified scholars and experts from outside the University. The Dean and one to two committee members recommended by the College Faculty Evaluation Committee shall propose more than seven scholars and experts with sufficient professional ability outside the University. After the Senior Vice President requests the President to select reviewers, the external review of the works will be conducted by the College, and the results of external review will be sent back to the College Faculty Evaluation Committee for second review.
- (3) The promotion case approved by the second review of the College Faculty Evaluation Committee, the convener shall add comments on teaching, services, or other matters, together with evaluation results, various forms, meeting minutes and publications, and submit to the University Faculty Evaluation Committee for final review.
- (4) The College Faculty Evaluation Committee can be held when more than two-thirds of the members are present. The Dean is the chairman of the meeting, and the convener of the Department/Institute Faculty Evaluation Committee may be invited to the meeting for explanation, and shall leave the meeting after explanation.
- (5) According to the performances of research, teaching, services and the results of external review of the applicant, the College Faculty Evaluation Committee will discuss and vote by secret ballot. Applicant who has been agreed by more than two-thirds of the number of attendees will be approved and submit to the University Faculty Evaluation Committee.
- (6) During the second review by College Faculty Evaluation Committee, the committee members shall fully discuss the research, teaching and service performances of the applicant before voting. If the voting result does not pass, the Committee shall sort out the external review comments, and hand over to the department/institute to inform applicant.

- VI. Applicant who disagree with the decision of the Faculty Evaluation Committee, may raise the objection according to the “Regulations for Promotion Evaluation of Faculty and Researches at National Sun Yat-sen University”.
- VII. Lecturers and teaching assistants who were appointed before March 19th,1997 shall follow the procedure and conditions stipulated below in applying for promotion of professorship rank:

1. The incumbent teaching personnel who have obtained the Lecturer Certificate or Teaching Assistant Certificate issued by the Ministry of Education before the amendments to the "Act Governing the Appointment of Educators" (hereinafter referred to as "Act"), and have been teaching continuously without interruption, may apply for promotion of professorship rank in accordance with the provisions before the amendments to the Act. Article 29 of the "University Act" shall not apply to the said teaching personnel.
2. Lecturers (those who have obtained the Lecturer Certificate before the amendments to the Act) who apply for promotion after receiving a Ph.D. degree shall, besides for the degree to meet acknowledged standards, follow the Regulations concerning the promotion of associate professor (after the amendments to the Act) by submitting their Ph.D. dissertation and other publications for evaluation (including external review). Applicants who fail to pass the evaluation shall be appointed as assistant professors of the old pay grade system. In cases when the pay grade of the said appointed assistant professor is lower than the lowest grade of an assistant professor of the new pay grade system, their pay grade shall start from the lowest grade of an assistant professor.
3. Promotion for teaching assistants (those who have obtained the Teaching Assistant Certificate before the amendments to the Act) shall be implemented according to the "Regulations for Teaching Assistant Promotion at National Sun Yat-sen University".
4. The effective date for the new professorship rank concerning cases applying with a newly obtained degree, as stipulated above, shall be the date the University Faculty Evaluation Committee approves the application for promotion.

VIII. The Regulations shall be implemented following approvals of the College General Meeting and University Faculty Evaluation Committee and the authorization of the President. The same procedure shall be carried out when amendments are to be made.

(The regulations in English are translated from the original Chinese. In the event of any discrepancies between the two versions, the Chinese version prevails.)